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PATENT, TRADEMARK
AND COPYRIGHT LAW

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Date: February 20, 2003

FACSIMILE COVER LETTER

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To: Examiner C. Nguyen
Group Art Unit 3625, USPTO

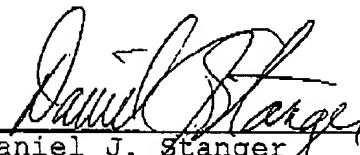
From: Mr. Daniel J. Stanger
MATTINGLY, STANGER & MALUR, P.C.

Re: USSN 09/506,808
Attorney Docket No.: ASA-672-02

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that the following listed documents are being facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below:

Copies of INFORMATION DISCLOSURE STATEMENT
and Form PTO-1449 filed on 10/31/02; and
Copy of Date-stamped Mailroom Receipt from 10/31/02.



Daniel J. Stanger
Reg. No. 32,846

2/20/03

Date

Total Number of Pages (including cover sheet): 5

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ASA-672-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

M. MORI et al

Serial No. 09/506,808

Group Art Unit: 3625

Filed: February 18, 2000

Examiner: C. Nguyen

For: METHOD AND APPARATUS FOR EXECUTING ELECTRONIC AUCTION
(As Amended)

INFORMATION DISCLOSURE STATEMENT (IDS)
UNDER \$ 1.97 AND \$ 1.98

Commissioner for Patents
Washington, D.C. 20231

October 31, 2002

Sir:

1. This IDS should be considered:

(a) when filed within three months of the filing date of the present application, or within three months of the filing date of the National Stage as set forth in § 1.491 in an international application, or before the mailing date of a first Office Action on the merits, whichever occurs last;

(b) when filed before the mailing date of either a Final Rejection under § 1.113 or a Notice of Allowance under § 1.311, whichever occurs first and when 1(a) does not apply. For this purpose, there is included herein either a certification in section 4 below (included when indicated by a marked box), or a fee of \$180.00 (a check in the amount of \$180.00 is enclosed, or if not see section 5 below);

(c) when filed prior to the payment of the Issue Fee, when 1(a)-(b) do not apply, and when a certification is included in section 4 below (included when indicated by a marked box); then the Applicant(s) hereby petition(s) and request(s) consideration of this IDS, and provided herewith is a fee of \$180.00 (a check in the amount of \$180.00 to cover the petition fee, or if not see section 5 below).

2. When 1(a)-(c) do not apply, then it is requested that this IDS be placed in the file.

Serial No. 09/506,808

ASA-672-02

3. Listing of the information submitted is on the attached Form PTO-1449, which forms a part of this IDS.

4. The undersigned hereby states:

☒ (a) that each item of information contained in this IDS was first cited in any communication from a foreign patent office in a counterpart foreign application, as indicated on the copy of the communication submitted herewith, which communication was dated not more than three months prior to the filing of this IDS; or

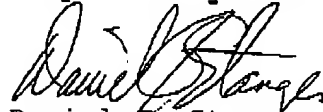
☐ (b) that no item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in § 1.56(c) more than three months prior to the filing of this IDS.

5. U.S. Patent No. 5,818,914, listed on the attached Form PTO-1449, is equivalent to JP-A-8-161412, which was cited in the Japanese Office Action in a counterpart foreign application.

6. If a fee or additional fee is required, the Commissioner is hereby authorized to charge any fee or additional fee that may be required and credit any excess to Deposit Account No. 50-1417.

7. If the PTO determines that part(s) of the required content is inadvertently omitted, then it is requested that the Applicant(s) be given additional time and specific identification of such omission(s) to enable full compliance.

Respectfully submitted,



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ASA-672-02

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M. MORI et al

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Group Art Unit: 3625

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Examiner: C. Nguyen

For: METHOD AND APPARATUS FOR EXECUTING ELECTRONIC AUCTION
(As Amended)

Papers Filed Herewith:

INFORMATION DISCLOSURE STATEMENT; and
Form PTO-1449, with references cited therein.

Receipt is hereby acknowledged of the papers filed, as
identified in connection with the above-identified patent
application.

COMMISSIONER OF PATENTS AND TRADEMARKS